- 1 Limited effects of age on the use of the ankle and counter-rotation
- 2 mechanism in the sagittal plane during standing
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12 Abstract

13 During standing, the center of mass (CoM) can be accelerated to remain within the base of support by 14 applying ankle moments to shift the center of pressure (CoP mechanism). An additional mechanism is the 15 counter-rotation mechanism, i.e., changing the angular momentum of segments around the CoM to 16 change the direction of the ground reaction force. In this study, we assessed anteroposterior balance 17 performance and the related use of these postural control mechanisms in children, younger and older adults. Sixteen children (6-9y), 17 younger adults (18-24y) and eight older adults (65-80y) performed 18 19 bipedal upright standing trials of 16 seconds on a rigid surface and on three balance boards, varying in 20 height (15-19 cm), that could freely move in the sagittal plane,. Full body kinematics were retrieved via a 21 Simi 3D motion analysis system (GmbH), DeepLabCut and Anipose. Performance related outcome 22 measures, i.e., the number of trials with balance loss, standard deviation of the time series of the CoM 23 acceleration (due to the CoP and counter-rotation mechanism) and the contributions of the CoP and 24 counter-rotation mechanism to the CoM acceleration (in %) were calculated. Furthermore, selected 25 kinematic measures, i.e., the orientation of the board and head and the Mean Power Frequency of board 26 orientation and of CoM acceleration were calculated. Compared to younger adults, children and older adults showed a poorer balance performance. Across age groups and conditions, the contribution of the 27 28 COP mechanism to the total CoM acceleration was dominant, i.e., 95%-108%. The contribution of the counter-rotation mechanism was limited, i.e., 19%-31% (with totals higher than 100% indicating opposite 29 30 effects of both mechanisms), which could be due to the fact that the counter-rotation mechanism would 31 conflict with stabilizing the head in space. Furthermore, children used the counter-rotation mechanism 32 relatively more compared to younger adults. This could indicate that they are still learning to limit the 33 contribution of the counter-rotation mechanism.

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35 Keywords

36 Postural control; Center of mass acceleration; Ageing: CoP mechanism; Counter-rotation mechanism;

37 Balance board

1. Introduction

39 Adequate postural control is a prerequisite for performance of crucial activities of daily life. Postural 40 control is necessary to prevent falls and can be defined as controlling the state of the body center of mass 41 (CoM, i.e., the point around which the mass is evenly distributed) relative to the base of support (BoS, i.e., 42 the area within an outline of all points on the body which are directly in contact with the support surface) 43 (Horak, 1987). Postural control is regulated by the sensorimotor control system; this integrates sensory 44 input from visual, vestibular and somatosensory systems to generate motor commands, resulting in 45 muscular responses or motor output (Peterka, 2002). 46 During standing, two postural control mechanisms can be used to accelerate the CoM in relation to the 47 base of support. The first of these is activating muscles around the ankle to generate ankle moments (Hof, 48 2007; Horak et al., 1986). These ankle moments are reflected in a shift of the center of pressure of the ground reaction force (CoP). Consequently, this mechanism has been coined the "CoP mechanism" (Hof, 49 50 2007; Horak et al., 1986). The second mechanism is changing the angular momentum around the CoM to 51 change the direction of the ground reaction force, i.e., the "counter-rotation mechanism" (Hof, 2007). 52 Rotation of the trunk and pelvis around the hip, which has been called the hip mechanism in the literature, 53 is one example of the counter-rotation mechanism (Hof, 2007; Otten, 1999). Other examples of the 54 counter-rotation mechanism are accelerations of other body segments, such as the arms or head, which 55 can be used in the same way. The use of these postural control mechanisms has been suggested to be 56 direction-specific (Winter et al., 1998; Winter et al., 1996). Use of the CoP-mechanism in anteroposterior 57 direction involves modulation of plantar and dorsiflexor muscle activity. Use of the CoP-mechanism in 58 mediolateral direction involves modulation of evertor and invertor muscle activity, but in bipedal stance 59 also involves loading and unloading the legs by extensor and flexor muscle activity respectively. In 60 anteroposterior direction, hip and trunk flexor/extensor muscle activity and in mediolateral direction, hip 61 abductor/adductor and trunk lateroflexor muscle activity are involved in the counter-rotation mechanism 62 to rotate the trunk and consequently accelerate the CoM or the arms. In a previous paper, we focused on the use of the postural control mechanism in the frontal plane (i.e., mediolateral direction) (van den 63

Bogaart et al., 2022). The current manuscript focusses on the use of the postural control mechanisms in
 the sagittal plane (i.e., anteroposterior direction) in the same population.

66 During quiet bipedal stance, the ankle mechanism is the dominant mechanism to accelerate the CoM in 67 the sagittal plane in healthy younger adults (Winter et al., 1996). More proximal muscles will be activated 68 when standing on a compliant or moving support surface (Patel et al., 2008; Riemann et al., 2003). Standing 69 on such a surface makes proprioceptive information at the ankle less reliable, as it is not directly related 70 to verticality and changes the effects of ankle moments on CoM acceleration (Horak et al., 2001; MacLellan 71 et al., 2006). Therefore, it is expected that people will rely more on the counter-rotation mechanism as an addition to the CoP mechanism with increased task difficulty (e.g., surface instability). The frequency of 72 73 postural corrections made using the CoP mechanism could be increased when standing on a moving 74 support surface as the mean power frequency (MPF) of CoP displacements is higher when standing on a 75 sway-referenced support surface compared to a rigid surface (Dickin et al., 2012).

76 At both the beginning and the end of the lifespan, challenges with postural control are common. In 77 children, immature sensory systems limit postural control (Steindl et al., 2006). Maturation of the 78 somatosensory system occurs at 3 to 4 years of age and the visual and vestibular systems reach adult levels 79 at 15 to 16 years of age (Steindl et al., 2006) or even later (Hirabayashi et al., 1995). The integration and 80 reweighting of sensory information is not yet adult-like until the age of 15 (Shams et al., 2020). This could 81 explain the differences in balance performance between children and adults. During quiet standing and 82 standing on foam, the amplitude of CoP displacements, CoP velocities and CoM accelerations and the MPF 83 of CoM accelerations, are larger in children between 3 and 6 years old than in older children and adults 84 (Hsu et al., 2009; Oba et al., 2015). In addition to the differences in balance performance, children between 85 4 and 6 years old showed variable use of postural control mechanisms after disturbances of upright 86 standing by a movable platform. Sometimes the children demonstrated an ankle mechanism, and 87 sometimes they demonstrated a counter-rotation mechanism (specifically the hip mechanism) (Shumway-88 Cook et al., 1985). It was postulated that children do not show adult-like consistent use of the postural 89 control mechanisms until 10 years of age (Shumway-Cook et al., 1985). Information on the use of the CoP 90 mechanism and counter-rotation mechanism when standing on different (unstable) surfaces in children 91 is, to the best of our knowledge, missing.

92 In older adults, deterioration of the sensory and motor systems, as well as sensory reweighting deficits 93 occur (Sturnieks et al., 2008). Deficits in the sensorimotor control system in older adults lead to impaired 94 balance performance compared to younger adults (Toledo et al., 2010). CoM accelerations and MPF of 95 CoP velocities were larger in older adults (age > 70) compared to younger adults during quiet standing (Demura et al., 2006; Masani et al., 2007; Yu et al., 2008). The amplitude of CoP displacements was larger 96 97 after forward platform translations when comparing older adults (age > 65) with younger adults 98 (Nakamura et al., 2001). When comparing the use of the postural control mechanisms between older and 99 young people, older adults tend to use the counter-rotation mechanism more after perturbations of 100 standing (Gu et al., 1996; Liaw et al., 2009; Lin et al., 2004; Manchester et al., 1989). Information on the 101 use of the CoP mechanism and counter-rotation mechanism in the anteroposterior direction when 102 standing on different (unstable) surfaces in older adults is still missing and worthwhile to assess.

We assessed if, and how, children, younger adults and older adults use the counter-rotation mechanism 103 104 to accelerate the CoM during standing and how this interacts with the CoP mechanism, during standing 105 on unstable support surfaces, i.e., uniaxial balance boards that can freely move in the sagittal plane. To 106 test if, and how, balance performance and the related use of the postural control mechanisms change with 107 ageing, variations in surface instability were used. We expected poorer balance performance and more 108 use of the counter-rotation mechanism in children and older adults compared to younger adults. We also 109 expected poorer balance performance and increased use of the counter-rotation mechanism during 110 standing on the balance boards compared to standing on a rigid surface. Additionally, we hypothesized 111 that the CoP mechanism is dominant, based on our findings when assessing the use of the postural control 112 mechanisms in the frontal plane (van den Bogaart et al., 2022).

113

114 2. Methods

115 The methods and participants of this study were identical to that of our previous study (van den Bogaart

et al., 2022). In the current experimental setup, however, the direction of the movement of the balance

boards was in the anteroposterior direction (i.e., in the sagittal plane). Whereas in our previous study the

balance boards only allowed movement in the mediolateral direction (i.e., in the frontal plane).

119 2.1. Subjects

120 Sixteen pre-pubertal children between 6-9 years old (10 males, age 8.2±1.1 years old, BMI 15.6±1.5 kg/m²), 121 17 healthy younger adults between 18-24 years old (7 males, age 21.9±1.6 years old, BMI 23.5±3.0 kg/m²) and eight older adults between 65-80 years old (5 males, age 71.8±4.6 years old, BMI 26.0±3.4 kg/m²) 122 123 participated. Sample size was calculated for a two-tailed unpaired sample t-test analysis using G*Power 124 $(1-\beta = 0.8, \alpha = 0.05)$ and an effect size of 1.5 based on previous studies (Masani et al., 2007; Oba et al., 125 2015). The required sample size calculated was eight per group (Supplementary Materials A.1.2). Potential 126 participants were excluded if they reported any neurological or orthopedic disorder(s), had an 127 uncorrectable visual impairment, were unable to maintain independent and unsupported stance for 60 seconds, had a BMI > 30 kg/m², had undergone surgery of the lower extremities during the last two years, 128 or took medication that might affect postural control. Additionally, older subjects were excluded if they 129 130 had experienced two or more falls during normal daily activities in the preceding year or had a cognitive 131 impairment (tested with Mini-Mental state examination (score<24)). Participants gave written informed 132 consent prior to the experiment. The study protocol was in agreement with the declaration of Helsinki and 133 had been approved by the local ethical committee (CME2018/064, NCT04050774). 134

135 2.2. Research design

The participants performed bipedal upright standing on a rigid surface and on three balance boards varying 136 137 in height of the surface above the point of contact with the floor (BB1; 15 cm, BB2; 17 cm and BB3; 19 cm). 138 The difficulty of the task is affected by the height of the surface of the board above the point of contact 139 with the floor (van den Bogaart et al., 2022). The balance board was a 48 cm by 48 cm wooden board 140 mounted on a section of a cylinder with a 24 cm radius that could freely move in the sagittal plane (Figure 1). Participants were allowed to practice standing on BB1 (the balance board with the smallest height) for 141 142 16 seconds before the actual measurements started. The four conditions were repeated three times in 143 random order, with each trial lasting 16 seconds. Based on pilot tests prior to the start of the experiments, 144 we found that trials longer than 16 seconds seemed not feasible as these resulted in frequent 145 falling/stepping off the balance board across all age groups. Furthermore, the longer the trial duration, the 146 more aspects like attention and motivation were tested and challenged, which could be confounding factors when assessing balance control, especially in young children. For every trial, participants were 147 148 instructed to stand barefoot on two feet, placed in parallel at hip width and arms along the body. They 149 were asked to stand as still as possible and look at a marked spot at seven meters distance on the wall in 150 front of them at eve level.



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Figure 1. Illustration of the balance boards, which could freely move in the sagittal plane, varying in height of the surface of the board above the point of contact with the floor (BB1; 15 cm, BB2; 17 cm and BB3; 19 cm). The feet indicate the person' orientation on the balance board.

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156 2.3. Materials and software

A Simi 3D motion analysis system (GmbH) with eight cameras (sample rate: 100 samples/sec, resolution: 157 158 1152x864 pixels) and 48 retro reflective markers was used. The illumination in the room at eye level was 159 650 Lux. Full body 3D kinematics (16 segments) were retrieved using the open-source deep learning (https://github.com/AlexEMG/DeepLabCut) 160 DeepLabCut python toolboxes and Anipose (https://github.com/lambdaloop/anipose). The complete workflow has been described previously (van 161 162 den Bogaart et al., 2022).

163

164 2.4 Data analysis

165 2.4.1. Performance

A trial was registered as a balance loss if a stepping response or an intervention by a researcher was required in order to remain standing. The number of balance losses per condition and per age group was recorded as a performance related outcome measure. In case of balance loss, the trial was excluded from further analysis without redoing the trial. Next to the number of balance losses, the standard deviation (SD)of the time series of the CoM acceleration in the sagittal plane was determined as a measure of performance.

172 2.4.2. Postural control mechanisms

- 173 The magnitudes of CoM acceleration induced by the CoP mechanism and counter-rotation mechanism in
- the sagittal plane were calculated using Eq. (1), as described by Hof (2007).

175
$$\ddot{CoM}_{AP}(t) = \frac{-F_Z(CoP_{AP}(t) - CoM_{AP}(t))}{m \cdot CoM_{vertical}(t)} + \frac{H_{sag}(t)}{m \cdot CoM_{vertical}(t)}$$
(1)

- in which *m* is body mass, COM_{AP} is the anteroposterior (AP) position of the CoM, $COM_{vertical}$ is the vertical
- position of the CoM, COM_{AP} is the double derivative of CoM_{AP} with respect to time, *t* is time, F_z is the
- vertical ground reaction force, CoP_{AP} is the AP position of the CoP, and \dot{H}_{sag} is the change in total body
- angular momentum in the sagittal plane.

- 180 Here, the first part of the right-hand term, $\frac{-F_Z(CoP_{AP}(t) CoM_{AP}(t))}{m \cdot CoM_{vertical}(t)}$, refers to the CoP mechanism and the
- 181 second part, $\frac{\dot{H}_{sag}(t)}{m \cdot CoM_{vertical}(t)}$, is the AP CoM acceleration induced by the counter-rotation mechanism.
- 182 Due to a technical problem, it was not possible to collect accurate ground reaction forces and CoP, the
- 183 magnitude of AP CoM acceleration induced by the CoP mechanism was calculated by subtracting,
- 184 $\frac{\dot{H}_{sag}(t)}{m \cdot CoM_{vertical}(t)}$, from $C\ddot{o}M_{AP}(t)$.
- 185 The SD values of the time series of CoM acceleration due to CoP and counter-rotation mechanism were
- 186 calculated for each trial. The relative contributions of the CoP and counter-rotation mechanism to the
- 187 $C\ddot{o}M_{AP}$ (in %) were calculated by dividing the SD of each mechanism by $C\ddot{o}M_{AP}$, multiplied by 100. Totals
- higher than 100% indicate opposite effects of both mechanisms (Supplementary Materials A.1.3).
- **189** 2.4.3. Kinematics
- 190 Orientations of the board and head in the sagittal plane were calculated relative to the global coordinate system. The deviations from the mean orientations and the MPF of the balance board orientation and 191 \ddot{CoM}_{AP} were calculated as described previously to provide a better understanding of the use of the CoP 192 and counter-rotation mechanism (van den Bogaart et al., 2022). To determine if participants prioritize to 193 194 keep their head stable rather than using the upper body rotations as a counter-rotation mechanism to 195 accelerate the CoM, we calculated the deviations from the mean orientations of the board and the head 196 to see if the head rotates along with the balance board. The MPF of the balance board orientation reflects the frequency of CoP shifts and thus partially reflects CoM acceleration due to the CoP mechanism. To 197 determine if this coincides with the frequency of total CoM acceleration, the MPF of the $C\ddot{o}M_{AP}$ was 198 199 calculated.
- 200
- 201 The data and code for the analysis can be found at <u>https://osf.io/e6zvx/</u>.
- 202

203 2.5. Statistics

- 204 The number of trials for each surface condition was three unless balance loss occurred, which resulted in 205 exclusion of this trial. Fisher exact tests were used to compare the number of balance losses of older adults 206 and children with younger adults. The results of the successful trials of each surface condition were 207 averaged for each participant. Mixed model ANOVAs were used to determine the effect of Age and Surface as well as their interaction on the SD of $C\ddot{o}M_{AP}$, SD of CoM acceleration due to the CoP and counter-208 rotation mechanism, the relative contribution of the CoP and counter-rotation mechanism to $C\ddot{o}M_{AP}$, the 209 SD of the balance board and head orientation, and the MPF of $C\ddot{o}M_{AP}$ and balance board orientation. In 210 211 case of a significant main effect, post-hocs on the main effects were performed (using a Bonferroni 212 correction of α). In case of a significant interaction effect, post-hoc analyses were performed to determine 213 differences between the surface conditions per age group (via repeated measures ANOVAs per age group 214 using a Bonferroni correction of $\alpha = \alpha/6$). In addition, to compare children and older adults with younger 215 adults, post-hoc analyses (via unpaired t-tests per surface condition, using a Bonferroni correction of α = 216 $\alpha/2$) were done to compare children with younger adults and older adults with younger adults. Statistical 217 analyses were performed with SPSS(v25) with α <0.05.
- 218

219 3. Results

In spite of slight deviations from normality, parametric statistical testing was performed. Transforming
 data hampers the interpretation of the results and ANOVA is considered robust to violations of normality
 (Schmider et al., 2010).

- 222 (30)
- 223

224 3.1. Performance

225 3.1.1. Balance loss

None of the participants had to be excluded because at least one out of the three trials per surface condition per participant was available. Older adults did lose balance more often than younger adults, 50%

228 versus 5.9% respectively (Table 1, *p* = 0.023).

- 229
- 230 **Table 1.** The number of balance losses per surface condition (standing on a rigid surface (RIGID) and uniaxial balance
- boards varying in height BB1; 15 cm, BB2; 17 cm and BB3; 19 cm).

	Surface condition			
	RIGID	BB1	BB2	ввз ₂₃₄
Child		1x		235
Child		1x		236
Child		1x	1x	237
Younger adult				1x 238
Older adult		2x	2x	2x 239
Older adult		1x	1x	2x ₂₄₀
Older adult		2x	1x	1x 241
Older adult		1x	2x	1x ₂₄₂

243

244 3.1.2 Total CoM acceleration

Significant main effects of Age, Surface and a significant interaction of Age and Surface on the SD of $C\ddot{o}M_{AP}$ were found (Figure 2a). The SD of $C\ddot{o}M_{AP}$ was significantly larger in children and older adults compared to younger adults across all conditions. In addition, the SD of $C\ddot{o}M_{AP}$ was significantly smaller during standing on a rigid surface compared to standing on the balance boards across all age groups. The SD of $C\ddot{o}M_{AP}$ was significantly smaller during standing on a rigid surface compared to standing on BB1 in children and younger adults, but not significantly different in older adults (p = 0.052). The SD of $C\ddot{o}M_{AP}$ was significantly larger in older adults and children compared to younger adults in the balance board conditions, but no

- significant difference was found between younger adults and older adults when standing on a rigid surface.
- **253** 3.2 Postural control mechanisms
- 254 3.2.1. CoP mechanism

255 Significant main effects of Age, Surface and a significant interaction of Age and Surface on the SD of the 256 contribution of the CoP mechanism were found (Figure 2b). The SD of CoM acceleration due to the CoP

257 mechanism was significantly larger in children and older adults compared to younger adults across all

conditions. Furthermore, the SD of CoM acceleration due to the CoP mechanism was significantly smaller

- during standing on a rigid surface compared to standing on the balance boards across all age groups. The
- 260 SD of CoM acceleration due to the CoP mechanism was significantly larger in older adults compared to
- 261 younger adults during standing on BB1 and BB3, but no significant difference was found between younger
- adults and older adults when standing on a rigid surface and on BB2 (p = 0.05). Moreover, the SD of CoM
- acceleration due to the CoP mechanism was significantly smaller during standing on a rigid surface
- 264 compared to standing on BB1 in children and younger adults, but not in older adults (p = 0.056).
- The relative contribution of the CoP mechanism to $C\ddot{o}M_{AP}$ ranged from 95%-108%. (Figure 2d). The average relative contribution decreased from standing on a rigid surface to standing on the balance boards (effect of Surface, Figure 2d).
- **268** 3.2.2. Counter-rotation mechanism
- 269 Significant main effects of Age, Surface and a significant interaction of Age and Surface on the SD of CoM
- acceleration due to the counter-rotation mechanism were found (Figure 2c). The SD of CoM acceleration
- due to the counter-mechanism was significantly larger in children and older adults compared to younger
- adults across all conditions. Moreover, the SD of CoM acceleration due to the counter-rotation mechanism
- was significantly smaller during standing on a rigid surface compared to standing on the balance boards
- across all groups. In addition, the SD of CoM acceleration due to the counter-rotation mechanism was
- significantly smaller during standing on BB1 compared to standing on BB3 across all groups. The SD of CoM
 acceleration due to the counter-mechanism was significantly larger in older adults compared to younger
- adults during standing on BB1 and BB2, but not when standing on a rigid surface and on BB3. The SD of
- 278 CoM acceleration due to the counter-rotation mechanism increased significantly with increasing height of
- the balance board in children and younger adults, with differences between standing on BB1 and BB3, but
- 280 did not significantly increase in older adults.
- The relative contribution of the counter-rotation mechanism to $C\ddot{o}M_{AP}$ ranged from 19%-31%. (Figure 2e).
- 282 The relative contribution of the counter-rotation mechanism was significantly larger in children compared
- to younger adults (effect of Age, Figure 2e), but was not different between older and younger adults.
- 284 Moreover, the relative contribution of the counter-rotation mechanism to $C\ddot{o}M_{AP}$ increased with surface
- instability, with differences between standing on a rigid surface and BB1 on one hand and BB3 on the other
- hand, and between standing on BB1 and BB2 (Effect of Surface, Figure 2e).
- 287

288 3.3 Kinematics

289 3.3.1. Balance board orientation

- 290 The SD of balance board orientation was larger in older adults compared to younger adults (effect of Age,
- Figure 3a). The SD of balance board orientation was significantly smaller when standing on BB1 than when standing on BB3 (effect of Surface, Figure 3a).
- **293** 3.3.2. Head orientation
- A significant main effect of Age and a significant interaction of Age and Surface on the SD of head
- orientation were found (Figure 3b). The SD of head rotation was significantly larger in children compared
- to younger adults across all conditions. Post-hoc tests did not reveal significant effects.
- **297** 3.3.3. MPF of CoM accelerations and balance board rotations
- 298 Significant main effects of Age, Surface and a significant interaction of Age and Surface on the MPF of
- 299 $C\ddot{o}M_{AP}$ were found (Figure 3c). The MPF of $C\ddot{o}M_{AP}$ was significantly larger in older adults compared to

- 300 younger adults across all conditions. Moreover, the MPF of $C\ddot{o}M_{AP}$ was significantly smaller during 301 standing on a rigid surface compared to standing on the balance boards across all groups. The MPF of 302 $C\ddot{o}M_{AP}$ was significantly larger in older adults compared to younger adults during standing on BB1 and BB2 303 (BB3; p = 0.06). In older adults, the MPF of $C\ddot{o}M_{AP}$ was significantly lower during standing on the rigid 304 surface compared to standing on BB3, but not so in younger adults and children.
- 305 The MPF of balance board orientation was significantly larger in children and older adults compared to
- 306 younger adults (effect of Age, Figure 3d). Moreover, the MPF of balance board orientation increased with
- 307 surface instability, with differences between standing on BB1 and BB2 on one hand and standing on BB3
- 308 on the other hand (effect of Surface) (BB1 versus BB2; p = 0.057).



- 310 Figure 2. Group means, individual data and mixed model ANOVA results of the A) Root Mean Square (SD) of the
- 311 Center of Mass (CoM) acceleration ($C\ddot{o}M_{AP}$) (in m/s²), **B)** the SD of CoM acceleration due to the CoP mechanism (in
- 312 m/s^2), **C)** the SD of CoM acceleration due to the counter-rotation mechanism (in m/s^2), **D)** the relative contribution
- of the CoP mechanism to $C\ddot{o}M_{AP}$ (in %), **E)** the relative contribution of the counter-rotation mechanism to $C\ddot{o}M_{AP}$ (in
- 314 %), during standing on a rigid surface (RIGID) and during standing on uniaxial balance boards that can freely move in
- anteroposterior direction varying in height (BB1; 15 cm, BB2; 17 cm and BB3; 19 cm) in children (orange), younger
- adults (green) and older adults (blue). Totals of the relative contributions of the CoP and counter-rotation
- 317 mechanisms higher than 100% indicate opposite effects of both mechanisms.
- 318 In case of a significant main effect of Age and/or Surface, groups means (rhombuses) and individual data points (dots)
- 319 are displayed in the left and middle panel. In case of a significant interaction effect (Age x Surface), group means
- 320 (thick lines with dots, in which the dots represent actual data points per condition) and individual data (thin lines)
- 321 are displayed in the right panel. Data points of the different conditions are connected (lines) for every individual to
- 322 indicate which data points belong to an individual, but these lines do not represent a continuum.
- * represents a significant difference compared to younger adults, with the group tested identified by the color code.
- 324 # represents a significant difference compared to standing on a rigid surface. + represents a significant difference
- 325 compared to standing on BB1. ^ represents a significant difference compared to standing on BB2.



327 Figure 3. Group means, individual data and mixed model ANOVA results of the A) standard deviation (SD) of the 328 balance board orientation (in degrees), B) SD of the head orientation (in degrees), C) Mean Power Frequency (MPF) 329 of $C\ddot{o}M_{AP}$ (in Hertz), **D**) MPF of the board orientation (in Hertz), during standing on a rigid surface (RIGID) and during 330 standing on uniaxial balance boards that can freely move in anteroposterior direction varying in height (BB1; 15 cm, 331 BB2; 17 cm and BB3; 19 cm) in children (orange), younger adults (green) and older adults (blue). Totals of the relative 332 contributions of the CoP and counter-rotation mechanisms higher than 100% indicate opposite effects of both 333 mechanisms. In case of a significant main effect of Age and/or Surface, groups means (rhombuses) and individual 334 data points (dots) are displayed in the left and middle panel. In case of a significant interaction effect (Age x Surface), 335 group means (thick lines with dots, in which the dots represent actual data points per condition) and individual data 336 (thin lines) are displayed in the right panel. Data points of the different conditions are connected (lines) for every 337 individual to indicate which data points belong to an individual, but these lines do not represent a continuum.

* represents a significant difference compared to younger adults, with the group tested identified by the color code.
 # represents a significant difference compared to standing on a rigid surface. + represents a significant difference
 compared to standing on BB1. ^ represents a significant difference compared to standing on BB2.

341

342 4. Discussion

343 We assessed if, and how, children, younger adults and older adults use the counter-rotation mechanism to accelerate their CoM during standing and how this interacts with the CoP mechanism, during standing 344 on moving support surfaces, i.e., uniaxial balance boards that could freely move in the sagittal plane. As 345 hypothesized, we found poorer balance performance in children and older adults compared to younger 346 347 adults. Across age groups and conditions, the contribution of the CoP mechanism to the total CoM 348 acceleration was dominant. The contribution of the counter-rotation mechanism was much smaller. 349 Contrary to our hypothesis, only children and not the older adults did use the counter-rotation mechanism 350 more to accelerate the CoM than younger adults.

351

352 4.1. Effects of surface instability

353 A total of 23 balance losses occurred spread over trials from three children, one younger adult and four 354 older adults, all when standing on a balance board. The SD of CoM accelerations was larger during standing 355 on a balance board than on the rigid surface, reflecting that standing on the balance board was indeed more challenging than standing on the floor. Decreased pertinence of proprioceptive information from 356 357 ankle muscles and a reduction of the effectiveness of ankle moments to accelerate the CoM when standing 358 on a balance board could be an explanation for this (Horak et al., 2001; van Dieen et al., 2015). The number 359 of balance losses (23), with balance boards that could freely move in the sagittal plane, was much larger 360 than in our previous study, with uniaxial balance boards that could freely move in the frontal plane (only 361 3)(van den Bogaart et al., 2022). Surface instability in the sagittal plane thus seems more challenging 362 compared to surface instability in the frontal plane. This could be due to the fact that establishing CoP 363 shifts by loading and unloading the legs by extensor and flexor muscle activity respectively, is possible in 364 the frontal plane (Winter et al., 1993), next to applying ankle moments. Increasing the height of the 365 balance boards did lead to larger deviations from the mean balance board orientation, increased 366 frequency of balance board rotations and increased SD values and relative contribution of the counter-367 rotation mechanism. In contrast, no effects of balance board height were found on balance boards that 368 could freely move in the frontal plane (van den Bogaart et al., 2022).

369 4.2. Age effects

370 4.2.1. Performance and kinematics

371 Despite being healthy and non-falling, the older participants lost balance more often than the younger 372 adult participants, which could indicate a higher risk of falls in daily life. Sensorimotor control is worse in 373 children and older adults compared to younger adults, hence an increased SD of CoM accelerations, 374 corresponding to a deterioration of balance performance, and larger deviations from the mean board 375 orientation compared to younger adults were expected (Bugnariu et al., 2006; Hirabayashi et al., 1995; 376 Shams et al., 2020; Steindl et al., 2006; Teasdale et al., 1991). The differences in postural control between 377 older adults and children on one hand and younger adults on the other hand during standing on unstable 378 surfaces are in line with previous studies (Bergamin et al., 2014; Hsu et al., 2009; Riach et al., 1989; 379 Sturnieks et al., 2011). However, it could be assumed that these studies assessed 'steady-state' postural control (according to Reed et al., 2020). The postural control during the familiarization period of a new 380 381 task (i.e., standing on a balance board) was measured in the current study. The age effects in the current 382 study could be a result of differences in time needed to familiarize. The age effects could be different when 383 comparing the steady-state postural control between age groups. The age effects could be different when 384 comparing steady-state postural control between age groups, but since fast learning effects may occur 385 (van Dieen et al., 2015), and may be different between age groups, it is questionable if and how a steady-386 state can be defined. Despite the instruction to look at a marked spot on the wall in front of them at eye 387 level, children did rotate their head more compared to younger adults. More head rotation in children 388 could be self-generated and indicate less attention, which is common in children compared to younger adults (Huang-Pollock et al., 2002; Wickens, 1974). Self-generated head rotation may be disadvantageous, 389 390 as it leads to changing visual and vestibular inputs, requiring more processing to discern between body motion and self-imposed head motion (Khan et al., 2013). Furthermore, self-generated head rotation 391 392 could potentially result in increased muscle tone (e.g., leg and arm muscles) due to the tonic neck reflex 393 (Bruijn et al., 2013; Iles et al., 1992; Parr et al., 1974). However, the head rotation in children was only 394 around three degrees.

395 The higher frequency of balance board rotations in children and older adults could potentially reflect an increased frequency of CoM accelerations due to the CoP mechanism, and did coincide with an increased 396 frequency of total CoM accelerations in older adults. However, increased frequency of the CoM 397 398 accelerations did not lead to improved balance performance. In children, the higher frequency of balance 399 board rotations did not automatically result in an increased frequency of total CoM accelerations as the 400 frequency of CoM corrections depends on the control actions of both the CoP mechanism and the counter-401 rotation mechanism. It should be kept in mind that board rotations can reflect corrective actions as well 402 as perturbations due to neuromuscular noise. Furthermore, the magnitude of CoM acceleration can 403 indicate control of the CoM relative to the BoS, but perturbation effects on the CoM accelerations cannot 404 be distinguished from control actions.

405 4.2.2. Postural control mechanisms

The contribution of the CoP mechanism to CoM acceleration was dominant relative to the contribution of the counter-rotation mechanism. The relative contribution of the CoP mechanism to the total CoM acceleration was around 100% (ranging from 95%-108%) and the relative contribution of the counterrotation mechanism was around 25% (ranging from 19%-31%). The contribution of the two mechanisms 410 was not always in the same direction, as the summed SD values were often larger than the SD values of

the total anteroposterior CoM acceleration. However, the desired direction for either of these mechanismsis unclear.

413 We found that children used the counter-rotation mechanism relatively more to accelerate the CoM 414 compared to younger adults. This is in contrast to our previous study using balance boards that could freely 415 move in the frontal plane, in which we did not find an effect of age on the relative use of the counter-416 rotation mechanism (van den Bogaart et al., 2022). The increased contribution of the counter-rotation mechanism in children cannot be explained by differences in body height between children and younger 417 418 adults, as accelerating the body center of mass by the counter-rotation mechanism is less efficient at lower 419 height (A.1.1. Supplementary materials, <u>https://osf.io/e6zvx/</u>). The increased amount of head rotation in 420 children is unlikely to have contributed substantially to the increased rate of change of angular momentum 421 (i.e., use of the counter-rotation mechanism) as head rotation was limited to only three degrees. We 422 suggest that children are still learning to limit the contribution of the counter-rotation mechanism to the 423 same extent as younger and older adults (Shumway-Cook et al., 1985). Overall, the contribution of the 424 counter-rotation mechanism was limited, also in children. It could be that segmental rotations were used 425 to achieve a proper orientation of segments such as regulating the orientation of the head in space, rather 426 than accelerating the CoM (Alizadehsaravi et al., 2021). All participants, even the children, kept their head 427 quite stable. This suggests that people prefer to maintain a constant visual and vestibular input by keeping 428 the head stable, rather than using upper body rotations as a counter-rotation mechanism to accelerate 429 the CoM. In addition, rotational accelerations of body parts need to be reversed leading to the opposite 430 effect on the acceleration of the CoM. We also found limited use of the counter-rotation mechanism to 431 accelerate the CoM in gait, as using the counter-rotation mechanism would actually interfere with the gait 432 pattern (van den Bogaart et al., 2020). During unipedal stance on a balance board, larger contributions of 433 counter-rotation were found, but this was to a large extent generated by the free leg (van Dieen et al., 434 2015).

A limitation of this study is that the study could be underpowered as the sample size calculation was based on t-tests while a mixed model analysis was used. Another limitation of this study is that we assume that the counter-rotation mechanism can be used without changing the position of the CoP, but that in practice, this may not always be the case as this requires precise coordination. The two mechanisms can be distinguished analytically, but whether they are used independently remains to be proven.

440

441 5. Implementation

442 Understanding the mechanisms used for postural control could be used to determine training targets. For 443 example, relying more on the counter-rotation mechanism may result in a fall if the angular accelerations 444 cannot be reversed due to (anatomical) constraints (e.g., range of motion, strength, flexibility, reaction 445 time). Moreover, it could cause interference with other task constraints, such as orienting the head in 446 space. Training the use of specific mechanisms to accelerate the CoM could be implemented in therapeutic 447 interventions that aim to improve balance performance (e.g., decreasing fall incidence or decreasing the 448 number of recovery steps after a perturbation). However, whether and how, a specific mechanism can be 449 trained (in specific populations and situations) needs further investigation.

450 6. Conclusion

- 451 Children and older adults had a poorer balance performance, than younger adults. Across age groups and
- 452 conditions, the contribution of the CoP mechanism to the total CoM acceleration was much larger than
- 453 that of the counter-rotation mechanism. The CoP mechanism was dominant. Increasing the height of the
- 454 balance board provoked increased use of the counter-rotation mechanism. Furthermore, children used,
- but older adults did not use, the counter-rotation mechanism relatively more compared to younger adults.

457 7. Contributions

- 458 Contributed to conception and design: MvdB, SMB, JHvD, PM
- 459 Contributed to acquisition of data: MvdB
- 460 Contributed to analysis and interpretation of data: MvdB, SMB, JHvD, PM, JS
- 461 Drafted and/or revised the article: MvdB, SMB, JHvD, PM, JS
- 462 Approved the submitted version for publication: MvdB, SMB, JHvD, PM, JS
- 463

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- 467

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- 471

472 10. Declaration of interest

- 473 None. The authors declare that they have no known competing financial interests or personal relationships474 that could have appeared to influence the work reported in this paper.
- 475

11. Data and supplementary material accessibility statement

- 477 The supplementary materials, data and code for the analysis can be found at <u>https://osf.io/e6zvx/</u>
- 478

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